

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representation of  
The original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.





0360

N #4  
PATENT

ATTORNEY DOCKET NO.: 044481-5044

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: V. RAMAKRISHNAN *et al.* )  
Application No.: 09/775,803 ) Group Art Unit:  
Filed: February 5, 2001 ) Examiner:  
For: TRANSGENIC ANIMALS HAVING A )  
MODIFIED GLYCOPROTEIN V GENE )

Commissioner for Patents  
**BOX MISSING PARTS**  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

1. This replies to the Notice to File Missing parts of Application (PTO-1533) mailed March 9, 2001. A copy of the Notice To File Missing Parts Of Nonprovisional Application is enclosed.
2. Declaration Or Oath  
☒ No declaration or oath was filed. Enclosed is the original Combined Declaration and Power of Attorney  
☐ The specification attached to the declaration is a copy of the specification and any amendments thereto which were filed in the PTO to obtain the filing date.  
☐ The declaration or oath which was filed was determined to be defective. A new original Combined Declaration and Power of Attorney is attached.
3. English Translation of Non-English Language Papers  
☐ Submitted herewith is a verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the PTO.

10/12/2001 MBERHE 00000052 09775803

06 FC:228

980.00 0P



Also enclosed is the Verification of Translation Document

4. Small Entity Statement(s)

☒ Verified Statement(s) that this is a filing by a small entity

Applicants qualify as a small business concern as defined in 37 CFR 1.27

(a)(1) through (a)(3), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code.

5. Fee Calculation

			Basic Fee: Patent Application-\$740.00 Design Application-\$330.00	\$740.00
	Number Filed	Number Extra	at a Rate of	
Total Claims	28 - 20 =	8	\$18.00 each=	+144.00
Independent Claims	5 - 3 =	2	\$84.00 each=	+168.00
Multiple dependent claim(s), if any			\$280.00	+280.00
Missing Parts Surcharge Fee			\$130.00	+130.00
<b>SUB-TOTAL =</b>				1462.00
Reduction by 1/2 for filing by a small entity				- \$731.00
<b>SUB-TOTAL =</b>				731.00
Fee For Application Filed With A Non-English Specification (37 CFR 1.17(k) and 1.52(d))			\$130.00	+
Fee For Processing and retention of application (37 CFR 1.21(l) and 1.53(d))			\$130.00	+
<b>TOTAL FILING FEE =</b>				<b>\$731.00</b>



## 6. Extension of Time

The proceedings herein are for a patent application and the provisions of 37 CFR 1.136(a) apply.

☒ Applicant petitions for an extension of time, the fees for which are set out in 37 CFR 1.17(a)-(d), for the total number of months checked below:

<u>Total months requested</u>	<u>Fee for extension</u>	<u>[fee for Small Entity]</u>
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	\$ 400.00	\$200.00
<input type="checkbox"/> three months	\$ 920.00	\$460.00
<input type="checkbox"/> four months	\$1,440.00	\$720.00
<input checked="" type="checkbox"/> five months	\$1,960.00	<b>\$980.00</b>

Extension of time fee due with this request: \$ 980.00

If an additional extension of time is required, please consider this a Petition therefor.

## 7. Fee Payment

The total fee due is:	Completion Fees	<u>\$731.00</u>
	Extension Fees	<u>\$980.00</u>
	<b>Total Fee Due</b>	<b><u>\$1,711.00</u></b>

☒ **FEE IS BEING PAID BY CHECK**

Check Nos. 112853 and 112872 are attached for the following:

Basic Filing Fee:	\$ 370.00
Five months extension to time	980.00
Additional claims fee including	
fee for multiple dependent claims	296.00
<u>surcharge for filing missing parts</u>	<u>65.00</u>
<b>Total amount of checks:</b>	<b>\$1,711.00</b>

**Except** for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. '1.16 and '1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0310.



8. Additional papers enclosed.

- ☒ Substitute Drawings (Figs. 1-9) – 12 sheets total
- ☒ Petition for Filing an Application Under 37 C.F.R § 1.47(a) with accompanying Statement of Facts in Support Thereof.
- ☒ Check No. 112967 in the amount of \$130.00 for Petition fee.

Respectfully submitted,

**MORGAN, LEWIS & BOCKIUS LLP**

Date: October 9, 2001



Lawrence J. Carroll  
Reg. No. 40,940

**CUSTOMER NO. 009629**

**MORGAN, LEWIS & BOCKIUS<sup>LLP</sup>**

1800 M Street, N.W.

Washington, D.C. 20036

(202) 467-7000

(202) 467-7258





## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/775,803	02/05/2001	Vanitha Ramakrishnan	44481-5044

CONFIRMATION NO. 3916

## FORMALITIES LETTER



\*OC000000005843301\*

Reid G. Adler  
MORGAN, LEWIS & BOCKIUS LLP  
1800 M Street, N.W.  
Washington, DC 20036-5869



Date Mailed: 03/09/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$287.
 

10/12/2001 MBERHE 00000052 09775803	
01 FC:201	370.00 OP
02 FC:205	65.00 OP
03 FC:203	72.00 OP
04 FC:202	84.00 OP
05 FC:204	140.00 OP

  - \$72 for 8 total claims over 20.
  - \$80 for 2 independent claims over 3.
  - \$135 for multiple dependent claim surcharge.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 707.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

05/10/2002 AKELLEY 00000019 500310 09775803

01 FC:205

65.00 CH

drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least

Reoln. Ref: 05/10/2002 AKELLEY 00000019 500310  
DAH:500310 Name/Number: 09775803  
FC: 704 \$9.00 CR

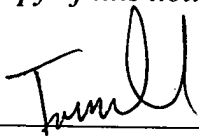
Adjustment fee: 05/10/2002 AKELLEY 00000018 09775803  
10/12/2001 MBERHE 00000052 09775803  
03 FC:203  
01 FC:203



least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

---

*A copy of this notice MUST be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

703-308-1202